

In re the Application of: Gaidarev et al.

Serial No.:

10/005,217

Filed:

December 5, 2001

For:

PAYOUT DISTRIBUTIONS

FOR GAMES OF CHANCE

Atty, Docket:

0104704-0008

Group Art Unit: 3713

Examiner:

Telefax No.: 703-746-3453

CERTIFICATE OF MAILING OR FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile (at the Telefax Number above) transmitted to the U.S. Patent and Tradeinark Office on the date shown below.

Date

David J. Powener, Reg. No. 31,868

COMMISSIONER FOR PATENTS P.O. Box 1450 ALEXANDRIA, VA 22313-1450

LETTER

Applicant hereby requests that the Revocation and Grant of Power of Attorney filed on January 23, 2003, with the United States Patent and Trademark Office (henceforth, "Patent Office"), be accepted and entered into the file.

On March 15, 2002, Applicant filed, for recordation with the Patent Office, an Assignment, in which the inventors of the above-cited application, Peter Gaidarev and Jonathan W. Woo, assigned the application to ProfitLogic, Inc. On June 3, 2002, the Patent Office issued a Notice of Recordation of Assignment Document, indicating that the Assignment was recorded on Reel 012755, Frames 0951 - 0954 (Attachment A).

On January 23, 2003, Applicant filed with the Patent Office the attached Revocation and Grant of Power of Attorney, together with a Request for Change of Address and a Change of Attorney Docket (Attachment B). The Revocation and Grant of Power of Attorney was signed by an officer of ProfitLogic, Inc., to wit, Jonathan W. Woo, Ph.D., Vice President Research & Advanced Technology.

On April 25, 2003, the Patent Office issued a Notice Regarding Power of Attorney, which stated that the Power of Attorney was not accepted because the signature of a co-inventor in the application had been omitted (Attachment C).

In view of Rule 3.71(b), Applicant respectfully disagrees that the signature of a coinventor in the application is relevant. The rule states that "an assignee of the entire right, title and interest in the application" may conduct its prosecution. This necessarily includes appointing attorneys responsible for that prosecution. In the present case:

- the inventors of the above-cited application assigned the entire right, title and interest in the application to ProfitLogic, Inc. (i.e., Applicant), subsequently recorded as Reel 012755, Frames 0951 0954; and
- the Revocation and Grant of Power of Attorney was signed by Mr. Woo in his capacity as an officer of ProfitLogic, Inc., rather than as an inventor.

In accord with the foregoing, Applicant hereby requests that the Patent Office accept and enter the aforementioned Revocation and Grant of Power of Attorney without requiring the additional signature of inventor Peter Gaidarev.



Applicant also requests that the records at the Patent and Trademark Office be changed to show that future correspondence and telephone communications regarding the above-cited application be directed to the undersigned.

Respectfully submitted,

Date

David J. Powsner, Reg. No. 31,868

NUTTER, McCLENNEN & FISH LLP World Trade Center West 155 Seaport Blvd. Boston, Massachusetts 02210-2604

6/19/03

Telephone: (617) 439-2717 Telefax: (617) 310-9717

1230384,1



JUNE 03, 2002

PTAS

Commissioner for Trademarks Arlington, VA 22202-3513 www.uspto.gov

DAVID L. FEIGENBAUM FISH & RICHARDSON P.C. 225 FRANKLIN STREET BOSTON, MASSACHUSETTS 02110-2804

en 114

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE; YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/15/2002

REEL/FRAME: 012755/0951

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GAIDAREV, PETER

DOC DATE: 03/11/2002

ASSIGNOR:

WOO, JONATHAN W.

DOC DATE: 03/11/2002

ASSIGNEE:

PROFITLOGIC, INC.

ELEVEN CAMBRIDGE CENTER

CAMBRIDGE, MASSACHUSETTS 02142-1406

SERIAL NUMBER: 10005217

PATENT NUMBER:

FILING DATE: 12/05/2001

ISSUE DATE:

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS RECEIVED

JUN 0 7 2002

fish a richardson, RC. Boston office

Attachment A

Received from < 01116173109000 > at 6/19/03 5:26:18 PM [Eastern Daylight Time]

; , ,

Substitute Form PTO-1595 Attorney Docket No.: 10530-008001

04-09-2002



HEET

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	ment(s) or copy(les).			
1. Name of conveying party(les);	2. Name and address of receiving party(les):		•	
Peter Gaidarev Jonathan W. Woo 3 -26 - 0	ProfitLogic, Inc.			
	Eleven Cambridge Center			
Additional name(s) attached? 口Yes 图 No	Cambridge, MA 02142-1408			
3. Nature of conveyance:				
图 Assignment II Merger				
☐ Security Agreement				
☐ Change of Name				
Other:				
Execution Date: March 11, 2002	Additional names/addresses attached?	Yes	国No	
4. Application number(s) or patent number(s):		77		Ŧ
If this document is being filed with a new application, the execution		NANCE	2266 CAPA	R
A. Patent Application No(s).:	B: Patent No(s),:	27/20	端积 2	2
10/005.217		S	Ň	20
	attached? 口Yes 图 No	50	0	
5. Name/address of party to whom correspondence concerning	6. Total number of applications/patents involved	4. ()	-	n
document should be mailed;		· <u></u>	<u> </u>	FFICE OF PUBLIC RECORDS
DAVID L. FEIGENBAUM Fish & Richardson P.C.	7. Total fee (37 CFR §3,41); \$40			벍
225 Franklin Street	 ■ Enclosed □ Authorized to charge Deposit Account, 			8
Boston, Massachusetts 02110-2804				
	8. Deposit Account No.: 06-1050			
	Please apply any additional charges, or any Deposit Account No. 06-1050.	credits,	to our	
9. Statement and Signature: To the best of my knowledge an any attached copy is a true copy of the original docume	nd belief, the foregoing information is true nt.	and co	rrect	and
David L. Feigenbaum Reg. No. 30:378	Juli 3/15/~	•		
David L. Feigenbaum	3/15/2 Date /	-		
David L. Feigenbaum Reg. No. 30,378 Name of Person Signing	Date Date of pages including coveraheet, attachment	enta and	docum	nent: 4
David L. Feigenbaum Reg. No. 30,378 Name of Person Signing To	Date Date of pages including coversheet, attachment	ente and	docun	nent: 4
David L. Feigenbaum Reg. No. 30,378 Name of Person Signing To 20404506.doc 20 TDIAZI 00000263 10005217		ente and	docun	nent: 4
David L. Feigenbaum Reg. No. 30,378 Name of Person Signing To 20404506.doc 20 TDIAZI 0000203 10005217 1 CERTYFICATE OF MAILING BY FIRE I heroby certify under 37 CFR 61.8(a) that		itatra Post	ral Sezu	

Attorney Docket No: 10530-006001/

ASSIGNMENT

For valuable consideration, we, PETER GAIDAREV of Malden, MA 02148, and JONATHAN W. WOO of Brookline, MA 02446, hereby assign to: ProfitLogic, Inc., a Massachusetts corporation having a place of business at: Eleven Cambridge Center, Cambridge, MA 02142-1406; and its successors and assigns (collectively hereinafter called "the Assignce"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled PAYOUT DISTRIBUTIONS FOR GAMES OF CHANCE, filed December 5, 2001, and assigned U.S. Serial Number 10/005.217, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors. certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Attorney Docket No: 10530-006001/

In Witness Whereof, I hereto se	t my hand and seal at	<u>Cambridge, MA,</u>
this day of March, 2002		
		L.S.
PETER GAIDARE	BV	
State of <u>Massachusetts</u> :		
; 58,		
County of Middle Cent:		
Before me this// day o	of March, 2002, personally appe	ared <u>PETER</u>
GAIDAREV known to me to be the pers	son whose name is subscribed to	the foregoing
Assignment and acknowledged that he ex	recuted the same as his free act	and deed for the
purposes therein contained.	·	
•		
	Notary Public	
	My Commission Expires;	
[Notary's Seal Here]	KEVIN JOHNSON Notary Public Commonwealth of Massachus	settz

Attorney Docket No: 10530-006001/

IN WITNESS WHEREOF, I hereto	set my hand and seal at	<u>Cambridge, MA,</u>
this // day of March, 2002	Inathan Arus	L.S.
JONATHAN W	.woo	
·	·	
State of : Massachusetts :		
: 89.		
County of Middlesup:		
Before me this da	y of March, 2002, personally ap	peared <u>JONATHAN W.</u>
WOO known to me to be the person v	whose name is subscribed to the	foregoing Assignment and
acknowledged that he executed the sar	ne as his free act and deed for th	ne purposes therein
contained.	•	
	52	
	Notary Public	
	My Commission Expires:	
[Notary's Seal Here]		
20400347.doc	KEVIN JO Notary F Commonwealth of My Commiss	HNSON Public Massachusetts on Expires

Appl. No. 10/005, 217 Atty Docks Title: PAYOUT DISTRIBOTIONS 1 N	et No. 104704 - 8 By: AIP
Application of GAIDAREV et al.	OFFICE CHANCE
* The Pollowing, DUEin the U.S. PTO, w	vas received in Mall Room on the date stamped hereon:
Mailing by Express Mail (37 CFR 1.10) Express Mail Label No. Cert. of Mailing under; 37 CFR 1.8 (a) Application for Patent inc. pgs. (pgs.) Specification, (pgs.) Abstract, (pgs.) Claims (Claims) Declaration Petition / Power of Attorney	Inf. Disc. Statement, PTO Form 1449 and Reference(s) Cited PCT Request 101 (sheets) Chapter II Demand PCT Ree/Calculation/Authorization Sheet Response to Correct Defects Check for S Check #
☐ Design Patent Application & Declaration & Oath ☐ Drawings Sheet(s) ☐ Formal ☐ Informal (Figs	Check for \$ Check # Check # Amendment/Response Letter to Official Draftsman Response to Notice to File Missing Parts
☐ Ventited Statement to establish a small entity status ☐ Continuing prosecution app under 37 CFR 1.53(d) ☐ Assignment & Recordation Cover Sheet ☐ Revocation of Power of Attorney & Appointment of New Power of Attorney ☐ Bibliographic Dain Sheet ☐ CHANGE OF	☐ Notice of Appeal ☐ Power of Attorney ☐ Brief (x3) ☐ Petition for Ext. of Time(x2) ☐ Transmittal Letter ☐ Fee Transmittal Letter ☐ Maintenance Fee Transmittal
E CHANGE OF ATTORNEY DOCKET	5011 Flav, g/co



POSTAGE WILL BE PAID BY ADDRESSEE

NUTTER MCCLENNEN & FISH LLP PO BOX 1400 **BOSTON MA 02205-8960**

NO POSTAGE NECESSARY IF MAILED IN THE UNITED STATES



Attachment B

Applicant:

Gaidarev et al.

Serial No.:

10/005,217

Filing Date:

December 5, 2001

For:

PAYOUT DISTRIBUTIONS IN GAMES OF

CHANCE

Atty. Docket:

0104704-0008

Examiner:

Group Art Unit:

I hereby certify that this correspondence is being deposited with the United States Postal Service, with first class postage attached, addressed to: Assistant Commissioner of Patents and Trademarket Washington, DC 20231, on the date indicated below.

Date Date

David J. Powsner, Reg. No. 31,868

ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS WASHINGTON, DC 20231

REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

Dear Sir:

Please update the Patent and Trademark Office records to reflect that the new ATTORNEY DOCKET NUMBER of the above-cited case is

0104704-0008

This new number replaces number (to wit, 10530-006001) on the original application papers.

If questions arise in this regard, please contact the undersigned attorney.

Respectfully submitted.

David J. Powsner, Reg. No. 31,868

Attorney for Applicants
Nutter, McClennen & Fish LLP
World Trade Center West
155 Seaport Blvd.
Boston, MA 02210-2604
Telephone: (617) 439-2717

Telefax: (617) 310-9717

Applicant;

5:19PM

Gaidarev et al.

Serial No.:

10/005,217

Filing Date:

December 5, 2001

For:

PAYOUT DISTRIBUTIONS IN GAMES OF

CHANCE

Atty. Docket:

0104704-00008

Examiner:

Group Art Unit:

I hereby certify that this correspondence is being deposited with the United States Postal Service, with first class postage attached, addressed to: Assistant Commissioner of Patents and Trademacks, Washington, DC 20231, on the date indicated below.

Date

<u> 123/03</u>

David J. Powsner, Reg. No. 31,868

Assistant Commissioner of Patents and Trademarks Washington, DC 20231

REQUEST FOR CHANGE OF ADDRESS

Dear Sir:

Please change the records at the Patent and Trademark Office to show that future correspondence and telephone communications regarding this application should be directed to the following address:

David J. Powsner (Reg. No. 31,868) Nutter, McClennen & Fish LLP World Trade Center West 155 Seaport Blvd. Boston, MA 02210-2604 Telephone: (617) 439-2717

Facsimile: (617) 310-9717

Respectfully submitted,

David J. Powsner, Reg. No. 31,868

Attorney for Applicants
Nutter, McClennen & Fish LLP
World Trade Center West
155 Seaport Blvd.
Boston, MA 02210-2604
Telephone: (617) 439-2717

Telefax: (617) 310-9717

In Re Patents and Patent Applications for ProfitLogic, Inc.

Assistant Commissioner for Patents Washington, DC 20231

Sir:

REVOCATION AND GRANT OF POWER OF ATTORNEY

Applicant hereby revokes all powers of attorney previously granted for the patents and patent applications listed below and appoints as its attorneys or agent, with full power of substitution and revocation, to prosecution the patents and patent applications and to transact all business in the United States Patent and Trademark Office connected therewith, David J. Powsner, Reg. No. 31,868; Ronald E. Cahill, Reg. No. 38,403; Thomas J. Engellenner, Reg. No. 28,711; William C. Geary III, Reg. No. 31, 359; Michael G. Verga, Reg. No. 39,410; Reza Mollaaghababa, Ph.D., Reg. No. 43,810; Lisa J. Michaud, Reg. No. 44,238; Tram Anh T. Nguyen, Reg. No. 47,257; David D. Nielson, Reg. No. 52,069; Jasbir Sagoo, Ph.D., Reg. No. 51,177; and Geoffrey S. Smith, Reg. No. 52,231.

Serial No.	Filing Date	Patent No.	Title:
09/826,378	4/4/2001		Assortment Decisions
09/976,769	10/11/2001		Clustering
10/165,041	6/7/2002		Markdown Management
09/263,979	3/5/1999		Inventory and Price Decision Support
09/900,706	7/6/2001		Price Decision Support
10/005,217	12/5/2001		Payout Distributions for Games of Chance

Applicant requests that all correspondence be directed to David J. Powsner, Nutter, McClennen & Fish LLP, World Trade Center West, 155 Seaport Blvd., Boston, Massachusetts 02210-2604, and that all telephone calls be directed to David J. Powsner at (617) 439-2717.

Dated: 1/22/03

Jonathan W. Woo, Ph.D.

Vice President, Research & Advanced

Technology

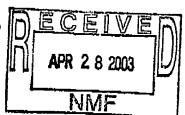
1183064.1

Title: PRIDUT DE ABOTIONS I V. C. Application of GAIDAREV et al.	
* The Following, DUBin the U.S. PTO, wi	as received in Mail Room on the date stamped bercon:
Mailing by Express Mail (37 CFR 1.10) Express Mail Label No. Cert. of Malling under, 37 CFR 1.8 (a) Application for Patent inc	Inf. Disp. Statement, PTO Form 1449 and Reference(s) Cited PCT Request 101 (sheets) Chapter II Demand PCT Fee/Calculation/Authorization Sheet Response to Correct Defects Check for \$ Check # Check for \$ Check # Check for \$ Check #
☐ Verified Statement to establish a small entity status ☐ Continuing prosecution app under 37 CFR 1.53(d) ☐ Assignment & Recordation Cover Sheet ☐ Revocation of Power of Attorney & Appointment of New Fower of Attorney ☐ Bibliographic Data Sheet ☐ CHANGE OF ☐ CHANGE OF ATTORNEY OCCUPY	Notice of Appeal Power of Attorney Bricf (x3) Petition for Ext. of Time(x1) Transmittal Letter Rea Transmittal Letter Maintenance Fee Transmittal 122(03) 5011 Rev. 8700



APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTY. DOCKET NO. TITLE 10/005,217 12/05/2001 Peter Gaidarev 0104704-0008 DOP

DAVID J POWSNER **NUTTER MCCLENNEN & FISH LLP** WORLD TRADE CENTER WEST 155 SEAPORT BLVD BOSTON, MA 02210-2604



CONFIRMATION NO. 8598 *OC000000009909270*

Date Mailed: 04/25/2003

NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/29/2003. The Power of Attorney in this application is not accepted for the reason(s) listed below;

 The signature(s) of PETER GAIDAREV, a co-inventor in this application, has been omitted. The Power of Attorney will be entered upon receipt of confirmation signed by said co-inventor.

3700 (703) 308-7408

NEW ATTORNEY/AGENT COPY

Docket Department

Reviewed. Docketed

Attachment C

Received from < 01116173109000 > at 6/19/03 5:26:18 PM [Eastern Daylight Time]



FACSIMILE TRANSMITTAL SHEET

Today's Date: June 18, 2003

Time: 3:51 PM

Employee ID:

of Pages: 15

From: David J. Powsner

Direct Dial: 617-439-2717

Fax No: 617-310-9717

RECIPIENT	COMPANY	FAX No.	PHONE NO.
La Shawn R. Morgan	U.S. Patent & Trademark Office	703-746-3453	703-308-7408

COMMENTS:

Re U.S. Serial No. 10/005,217

STATEMENT OF CONFIDENTIALITY

The documents included with this facsimile transmittal shoot contain information from the law firm of Nutter McClennen & Fish LLP which is confidential and/or privileged. The information is intended to be for the use of the addresses named on this transmittal sheet. If you are not the addresses, note that any disclosure, photocopying, distribution or use of the contents of this faxed information is prohibited. If you have received this faceimile in error, please notify us by telephone (collect) immediately so that we can arrange for the retrieval of the original documents at no cost to you.

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Client-Matter No. 104704-8

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World Trad Center West = 155 Seaport Blvd. = Boston, MA 02210-2604 = 617-439-2000 = Fax; 617-310-9000 = www.nutter.com